Patent Docket P3330R1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Group Art Unit: 1646

Examiner: Not yet assigned Baker et al.

Serial No.: 10/028,072

Filed: December 19, 2001

Secreted and Transmembrane For:

Polypeptides and Nucleic Acids

Encoding the Same

TRANSMITTAL OF PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA OCT 8 2002 ACENSING CONTROL UNDER 42 U.S.C. §§ 2182 and 2457

Assistant Commissioner of Patents ATTN: Licensing and Review Washington, D.C. 20231

Sir:

Applicants submit herewith a fully executed property rights statement to the DOE and/or NASA under 42 U.S.C. §§ 2182 and 2457 for the above captioned patent application. This property rights statement is submitted in anticipation of the Patent Office issuing a requirement for such a statement in the above captioned patent application as such a requirement was issued by the Patent Office in other related patent applications. Applicants note that this property rights statement is effective not only for the above captioned patent application, but also for all currently pending continuations of the above captioned patent application as shown in Appendix A which is appended to the fully executed property rights statement submitted herewith.

The Commissioner is authorized to charge any fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

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Mark T. Kresnak, Ph.D.

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PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1646 In re Application of:

Examiner: Not yet assigned Baker et al.

Serial No.: 10/028,072

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Secreted and Transmembrane For:

Polypeptides and Nucleic Acids

Encoding the Same

A Nucleic Acus ame IS STATEMENT TO DOE AND/OR NASA (42 U.S.C. 88 INVENTORS EMPLOYED BY AN ORGANIZATION OCT 8 2002 PROPERTY RIGHTS STATEMENT TO DOE AND/OR NASA (42 U.S.C. § 2182 and 2457) -

Assistant Commissioner of Patents ATTN: Licensing and Review Washington, D.C. 20231

Sir:

We, as joint inventors named in the above captioned patent application and the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A, hereby declare with respect to the invention(s) described and claimed in the above captioned patent application and in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A that:

- We made and conceived (a) the invention described and claimed in the above captioned 1. U.S. patent application Serial No. 10/028,072 filed in the United States of America on December 19, 2001 and entitled "Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same" and (b) the invention(s) described and claimed in the continuation applications of the above captioned patent application as listed on the herewith attached Appendix A (henceforth the "Inventions");
- 2. We made and conceived these Inventions while employed by Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080;

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Filed: December 19, 2001

3. These invention(s) are related to the work we are employed to perform (or were employed

to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment

duties; that these inventions were made during working hours and with the use of facilities, equipment,

materials, funds, information and services of Genentech, Inc.;

4. To the best of our knowledge and belief, these Inventions were not made or conceived in

the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered

into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy

Research and Development Administration or the Department of Energy;

5. To the best of our knowledge and belief, these Inventions were not made (conceived or first

actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of

any work under any contract of the National Aeronautics and Space Administration;

6. We declare further that all statements made herein of our own knowledge are true and that

all statements made on information and belief are believed to be true and further that these statements are

made with the knowledge that willful false statements and the like so made are punishable by fine or

imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful

false statements may jeopardize the validity of the application or any patent issuing thereon.

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Serial No.: 10/028,072

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to perform, if no longer employed by Genentech, Inc.) and were made within the scope of our employment

duties; that these inventions were made during working hours and with the use of facilities, equipment,

materials, funds, information and services of Genentech, Inc.;

To the best of our knowledge and belief, these Inventions were not made or conceived in 4.

the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered

into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy

Research and Development Administration or the Department of Energy;

To the best of our knowledge and belief, these Inventions were not made (conceived or first 5.

actually reduced to practice) under, nor is there any relationship of these Inventions to the performance of

any work under any contract of the National Aeronautics and Space Administration;

We declare further that all statements made herein of our own knowledge are true and that 6.

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Filed: December 19, 2001

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Application No.	Application Date	File No
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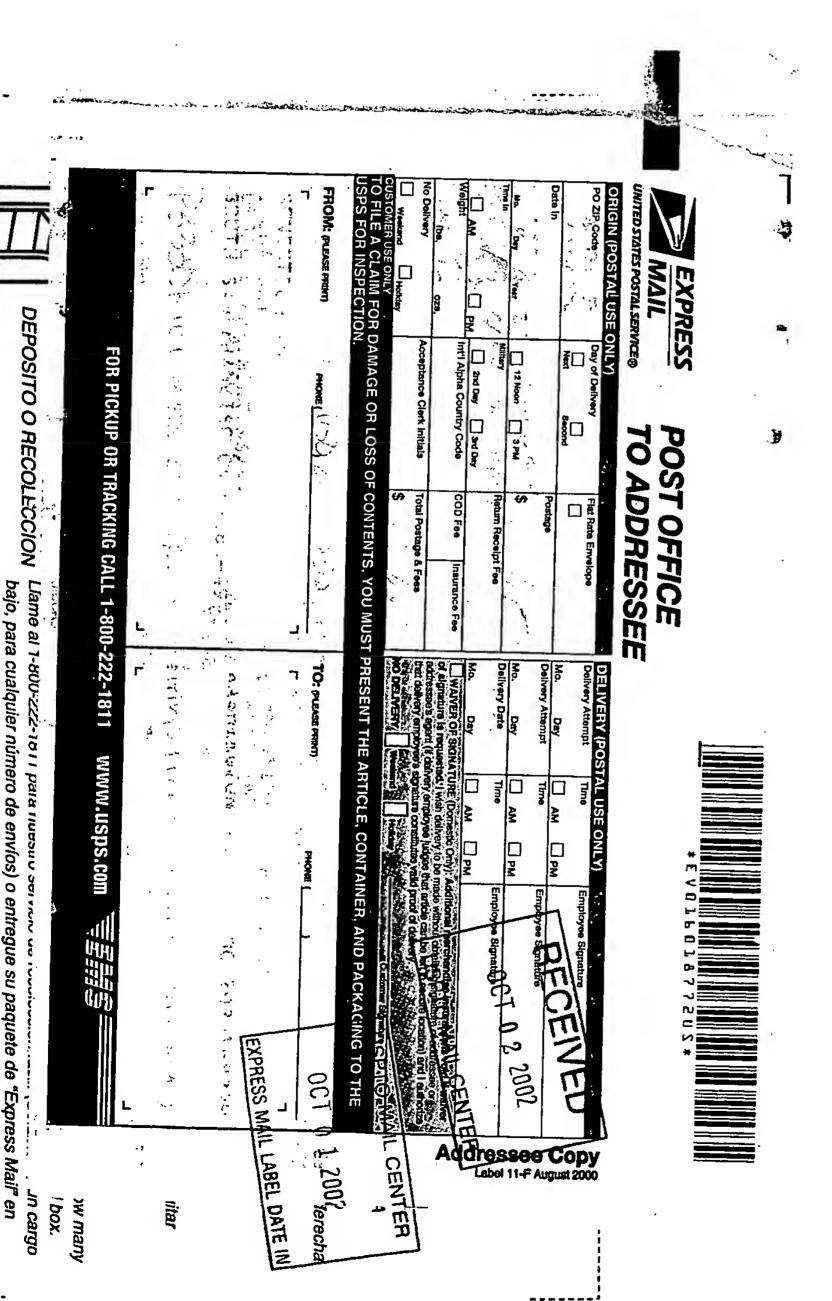
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